



22883

PTO/SB/22 (06-04)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a)

Docket Number (Optional) 108.1001.02

Application Number 09/411,683

Filed 10/4/1999

For Interactive Mortgage and Loan Information and Real-Time Trading System

Art Unit 3629

Examiner Dixon, T.

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.

The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):

- | | <u>Fee</u> | <u>Small Entity Fee</u> | |
|---|-------------|-------------------------|--------------------|
| <input type="checkbox"/> One month (37 CFR 1.17(a)(1)) | \$ 120.00 | \$ 60.00 | \$ _____ |
| <input type="checkbox"/> Two months (37 CFR 1.17(a)(2)) | \$ 450.00 | \$ 225.00 | \$ _____ |
| <input type="checkbox"/> Three months (37 CFR 1.17(a)(3)) | \$ 1,020.00 | \$ 510.00 | \$ _____ |
| <input checked="" type="checkbox"/> Four months (37 CFR 1.17(a)(4)) | \$ 1,590.00 | \$ 795.00 | <u>\$ 1,590.00</u> |
| <input type="checkbox"/> Five months (37 CFR 1.17(a)(5)) | \$ 2,160.00 | \$ 1,080.00 | \$ _____ |
- Applicant claims small entity status. See 37 CFR 1.27.
- A check in the amount of the fee is enclosed.
- Payment by credit card. Form PTO-2038 is attached (2 copies).
- The Director has already been authorized to charge fees in this application to a Deposit Account.
- The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 50-0365. I have enclosed a duplicate copy of this sheet.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

I am the applicant/inventor. assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). attorney or agent of record. Registration Number 33,040 attorney or agent under 37 CFR 1.34.
Registration number if acting under 37 CFR 1.34 _____.SA Swernofsky
SignatureSteven A. Swernofsky

Typed or printed name

10-11-2005

Date

650-947-0700

Telephone Number

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

 Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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22883

PTO/SB/21 (08-03)

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1W 3 629

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/411,683
		Filing Date	Oct 4, 1999
		First Named Inventor	Fraser, Steven K.
		Art Unit	3629
		Examiner Name	Dixon, T.
Total Number of Pages in This Submission	7	Attorney Docket Number	108.1001.02

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (2 copies)	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input checked="" type="checkbox"/> Fee Attached (PTO Form 2038 – 2 copies)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request (2 copies)	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Return Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Steven A. Swernofsky	Reg. No. 33,040
Signature		
Date	10-11-2005	

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Type or printed name	STEVE SWERNOFSKY		
Signature		Date	10/11/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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22883

PTO/SB/17 (10-03)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1590.00)

Complete if Known

Application Number	09/411,683
Filing Date	10/4/1999
First Named Inventor	Fraser, et al.
Examiner Name	Dixon, T.
Art Unit	3629
Attorney Docket No.	108.1001.02

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account

Deposit Account Number 50-0365

Deposit Account Name Swernofsky Law Group PC

The Director is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	
1051 790	2051 130	65 Surcharge – late filing fee or oath	
1052 350	2052 50	25 Surcharge – late provisional filing fee or cover sheet	
1053 550	1053 130	130 Non-English specification	
1812 200	1812 2,520	2,520 For filing a request for ex parte reexamination	
1804 200	1804 920*	920* Requesting publication of SIR prior to Examiner action	
1805 200	1805 1,840*	1,840* Requesting publication of SIR after Examiner action	
1251 790	2251 120	60 Extension for reply within first month	
1252 350	2252 450	225 Extension for reply within second month	
1253 550	2253 1,020	510 Extension for reply within third month	
1254 200	2254 1,590	795 Extension for reply within fourth month	\$1590.00
1255 200	2255 2,160	1,080 Extension for reply within fifth month	
1401 200	2401 500	250 Notice of Appeal	
1402 200	2402 500	250 Filing a brief in support of an appeal	
1403 200	2403 1000	500 Request for oral hearing	
1451 200	1451 1,510	1,510 Petition to institute a public use proceeding	
1452 200	2452 110	55 Petition to revive – unavoidable	
1453 200	2453 1,370	685 Petition to revive – unintentional	
1501 200	2501 1,400	700 Utility issue fee (or reissue)	
1502 200	2502 800	400 Design issue fee	
1503 200	2503 1,100	550 Plant issue fee	
1460 200	1460 130	130 Petitions to the Commissioner	
1807 200	1807 50	50 Processing fee under 37 CFR 1.17(q)	
1806 200	1806 180	180 Submission of Information Disclosure Stmt	
8021 200	8021 40	40 Recording each patent assignment per property (times number of properties)	
1809 200	2809 790	395 Filing a submission after final rejection (37 CFR 1.129(a))	
1810 200	2810 790	395 For each additional invention to be examined (37 CFR 1.129(b))	
1801 200	2801 790	395 Request for Continued Examination (RCE)	
1802 200	1802 900	900 Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 1590.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Steven A. Swernofsky	Registration No. (Attorney/Agent)	33,040	Telephone	650-947-0700
Signature	SASwernofsky			Date	10-11-2005

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